

# PASSPORT

## Online Specialist Supervisory Licenses

Your "Regulatory Visa" to the U.S. Institutional Marketplace

Over 90% of financial advisors and securities firms are not licensed to sell outside their home markets. PASSPORT solves for that...

Marco Polo Passport solves a foundational constraint on securities firms worldwide – the regulatory wall that separates markets. Through Marco Polo Securities, the largest 15a-6 chaperone in the U.S., utilizing their regulatory licenses and technology, provides clients with various cost-effective distribution strategies to build their international clientele and revenue base.

PASSPORT's integrated solution allows thousands of Foreign Financial Institutions to actively solicit and compliantly distribute financial products to U.S. Institutional Investors via a single subscription.

### Cross Border Compliance

Our U.S. chaperoning solution is our benchmark product. Through it, we bridge the gap between Foreign Financial Institutions and U.S. Institutional Investors under SEC Rule 15a-6.

### Cost Efficient

Setting up a U.S. broker-dealer may prove lengthy and costly. PASSPORT combines our specialized regulatory licenses and cutting-edge technology to grant cost-effective direct and compliant access to the U.S. Institutional Marketplace.

### Speed to Market

Compliant access to U.S. markets in hours/days versus months/years.

### Streamlined Business & Reporting

Streamline your cross-border compliance obligations. Our online registration tools, reporting capabilities, and business metrics, help you stay compliant and on top of your business in the cross-border marketplace.

**70+**

Clients Worldwide

**24-hour**

Online Access

**100%**

SEC – FINRA – NFA Compliant

### Clients

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Foreign Brokers Dealers  
Research Providers  
Asset Managers  
Investment Banks  
Fund Distributors  
Non-U.S. Trading Desks

### More Information

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For more information on Marco Polo products and services: [customerservices@mpsecurities.com](mailto:customerservices@mpsecurities.com)

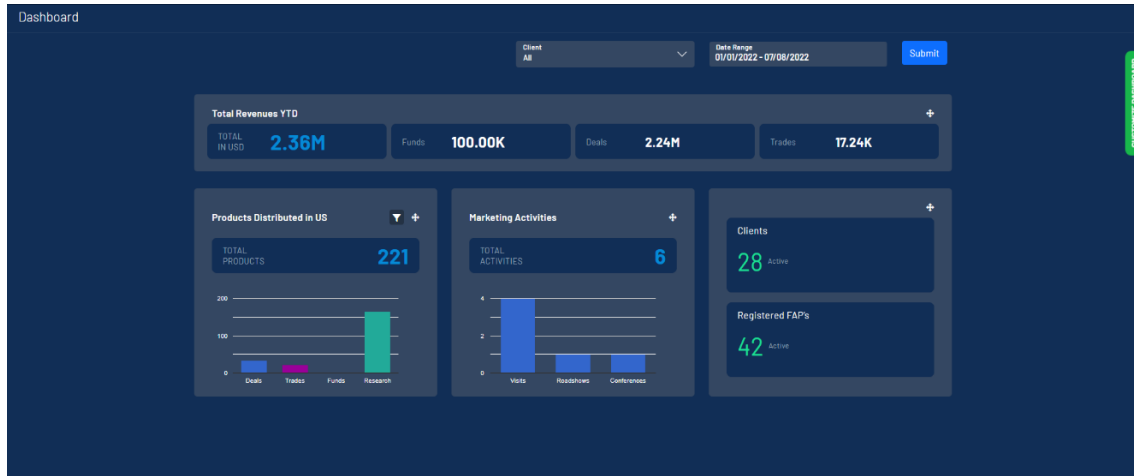
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**Seven Continents, One Subscription, Zero Boundaries...**

Marco Polo Passport | Online Specialist Supervisory Licenses

**Web-based regulatory compliance and business reporting services**

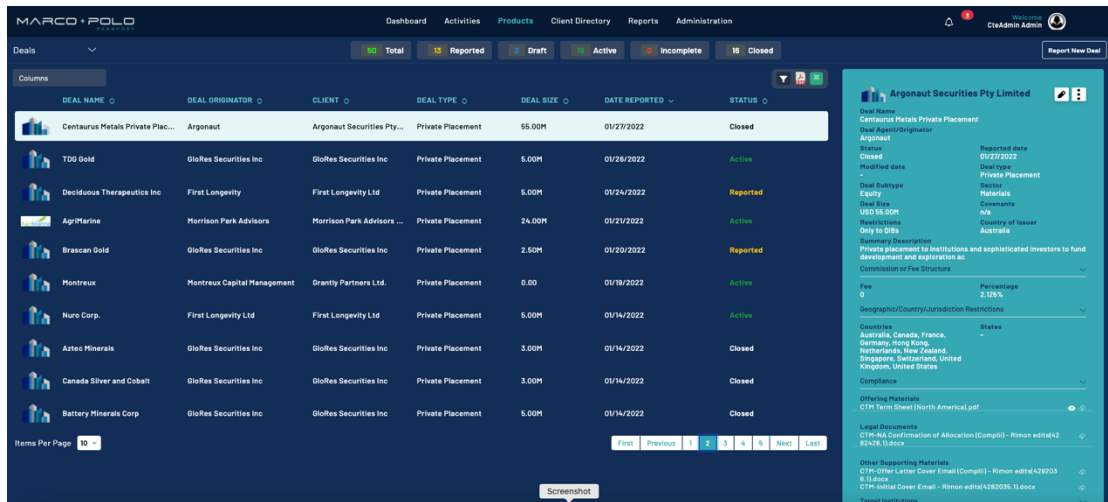
PASSPORT's integrated solution allows thousands of Foreign Financial Institutions to actively solicit and distribute investment, data, and execution products to U.S. buyers via a single subscription.



Quickly filter for specific periods and drill into the details of products, activities, approved counterparties, and more.

**Figure 1.**

PASSPORT's Business Dashboard provides a quick snapshot of your accrued and ongoing business activities and results.



**Figure 2.**

Easy-to-fill pages will streamline your reporting activities. PASSPORT allows you to easily access your clients' directory and speed up the approval of your deal/product reporting for distribution.

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